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63710 7590 02/21/2008

DEAN P. ALDERUCCI  
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Laura Alto (Depositor's name)  
*[Signature]* (Signature)  
May 20, 2008 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/553,423	04/19/2000	STUART A FRASER	99-1002	3987

**TITLE OF INVENTION:** SYSTEMS AND METHODS FOR TRADING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$0	\$0	\$1440	05/21/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
DASS, HARISH T	3692	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
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1 Glen R. Farbanish

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(A) NAME OF ASSIGNEE

BGC PARTNERS, INC.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature /Glen R. Farbanish/

Date May 20, 2008

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**Cantor Fitzgerald, L.P.**

# Fax

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<b>Fax:</b> (571) 273-2885	<b>Pages:</b> 3 (including cover sheet)
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## FORMAL COMMUNICATION

<b>Applicants</b>	:	Stuart A. Fraser et al.
<b>Application No.</b>	:	09/553,423 <b>Confirmation No. :</b> 3987
<b>Filed</b>	:	April 19, 2000
<b>For</b>	:	SYSTEMS AND METHODS FOR TRADING
<b>Group Art Unit</b>	:	3692
<b>Examiner</b>	:	Harish T. Dass

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